

SIXTH FLOOR, 900 HOWE STREET, BOX 250 VANCOUVER, B.C. V6Z 2N3 CANADA web site: http://www.bcuc.com TELEPHONE: (604) 660-4700 BC TOLL FREE: 1-800-663-1385 FACSIMILE: (604) 660-1102

Application for a Licence to Market Natural Gas

Application Instructions

Licence Requirements

To apply to obtain or renew a licence as a Natural Gas Marketer, you must submit the following:

- 1. A completed Application for a Licence to Market Natural Gas (Form A);
- 2. A \$1,000 Application Fee made payable to the Minister of Finance;
- 3. Proof that you have security in the amount of \$250,000. You may provide such proof by way of a bond, letter of credit or security for \$250,000 acceptable to the Commission;
- 4. A copy of your Annual Report filed with the Registrar of Companies in B.C. or a copy of the last Registration Certificate filed in B.C.;
- 5. Financial Statements. A copy of your latest audited financial statement and any subsequent unaudited quarterly statements. Applicants may request that the Commission hold these financial statements confidential:
- 6. Confirmation that the applicant's working capital position at the time of the most recent financial statement exceeded \$50,000 and that the current ratio of current assets to current liabilities exceeds 1.10;
- 7. Copies of all licences to carry on business in B.C.;
- 8. The training plan/procedures and the manuals that are being and will be used by the Gas Marketer and its employees to market and sell natural gas; and
- 9. Such other information that the Commission may require.

Instructions

Type or print clearly all information in black ink. Please send the completed form to:

British Columbia Utilities Commission Sixth Floor, 900 Howe St. , Box 250 Vancouver, BC., V6Z 2N3

> Attention: Mr. Robert Pellatt Commission Secretary

Email: Commission.Secretary@bcuc.com

Facsimile: 604-660-1102



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Application for a Licence to Market Natural Gas

A. General Information 1. Name of Business (Name to appear on Licence) 2. If there has been a change of name, show all previous names 3. Primary Contact for this Licence (British Columbia Contact) Last Name: Full First name: Initial: Mrs. Position Held: Ms. П Other Contact Address City Postal Phone Number E-mail Address Fax Number 4. Type and period of Licence Applied for Initial Licence to Market Natural Gas Renewal Licence to Market Natural Gas П Requested Licence Term \square Residential ☐ Commercial ☐ Commercial & Residential Type of Service: 5. Business Classification Sole Proprietor Partnership Corporation Other (describe):_

B. Information about the Applicant Organization

1. Applicant Organization (Corporation, Partnership, Sole Proprietorship, Other)							
Full Legal Name of Organization			Date of	f Formation			
Business Address							
City	Province.	Country			Postal/ZIP		
Phone Number	Fax Number			E-mail Address			
2. Licensing History							
Has your organization or an affiliate of your organization ever been granted a licence to market gas in British Columbia?							
No 🗌							
If your response is yes to the ab-	ove, please provide the followin	g informati	ion:				
Licence Type		Licence	Licence No.				
Please provide a summary with the Gas Marketer's gas supply o							
Please provide character referen	ices from local distribution comp	panies who	are fam	iliar with the acti	vities of the Gas Marketer.		
If your organization has ever following information:	marketed or sold electricity or	natural ga	as in an	y other jurisdicti	on? If so, please provide the		
Organization	Jurisdiction	Licence	е Туре		Licence/Registration No.		

3. Please provide the requested details of all legal proceedings as attachments to this Application. Yes Has your organization ever made a proposal to its creditors under the Bankruptcy and Insolvency Act, been declared bankrupt or is it presently party to bankruptcy or receivership proceedings? Where your organization is an undischarged bankrupt, provide a copy of the Assignment in Bankruptcy and a list of creditors Where your organization is a discharged bankrupt, provide full proof of discharge Where your organization has submitted a proposal to creditors or is in receivership provide a copy of the proposal or receivership order together with a list of creditors. Are there any unpaid judgments against your organization? If yes, provide a copy of each judgment. State amount outstanding and repayment arrangements. Has your organization ever been found guilty or convicted or an offence under any law, Regulation or Act or are any charges now pending? This includes where a conditional discharge or an absolute discharge has been ordered. If yes, provide particulars in a separate, signed and dated statement. Has your organization ever had a licence or registration of any kind refused, suspended, revoked or cancelled? If yes, attach particulars Has your organization ever been subject of a regulatory investigation or proceeding? If yes, attach particulars. Has your organization ever been reprimanded, fined or otherwise disciplined by a regulatory/licensing body? If yes, attach particulars. How many customer complaints about your organization's gas marketing activities were received in the last 12 months?

		Cach Key Individual et for each Sole Proprietor,	Partner, Officer a	nd Dire	ector				
1. Personal Infor	mation								
Mr.	Last Name:	·	Full First	name:				nitial:	
Mrs.							:		
Ms.	Position He	ıld:							
Other 🗌									
Contact Address									
City		Prov.	Country			Posta	al/ZIP		
Phone Number		Fax Number		Birthda	ate mm/dd/	уу			
								İ	
2. Personal Expen	rience in I	Energy Industry					u		
					Yes	No			
	e to market	or, partner, officer, director of natural gas in British Columbia		ıt					
that marketed or s distribution utility in	sold electri any other	tor, partner, officer, or director city or natural gas other the jurisdiction? If yes, please pro nce or registration type, identif	nan for a regulated vide company name	d					
	ion or lice	tor, partner, officer, or directornce of any kind refused, susculars.							
3. Legal Proceedi	ings								
_		is person been involved in gnment or Discharge papers.	personal bankruptc	у					
shareholder of a corp	oration when the Bankru	nis person been an officer, of ich has been declared bankrupt uptcy and Insolvency Act, or is occedings?	has made a proposa	પી					
Assign 2. Where dischar	ment in Bar this person rge rporate bank	is an undischarged bankrupt, p nkruptcy and a list of creditors is a discharged bankrupt, prov kruptcies, proposals or receiver	ide full proof of						
		s against this person? If yes, so		h					
penalties or been in out activities relatin	vestigated b	d, reprimanded, disciplined or by a regulatory body or govern arketing of gas or electricity, and dated statement.	nment agency arising	g					
are any charges now	v pending? nas been ord	d guilty or convicted of an offe This includes where a conditi dered. If yes, attach full parti	ional discharge or a	n					

D. Confirmation and Understanding

As evidenced by signing this Application, you understand that the Commission may deny, suspend or revoke a licence, or fine or otherwise discipline a licence holder, for reasons it considers sufficient, and that the following may constitute sufficient cause:

- 1. Misrepresentations in this Application.
- 2. Failure to carry out undertakings set out in this Application.
- 3. Failure to comply with the Code of Conduct for Gas Marketers, any applicable legislation, the Rules for Gas Marketers or conditions in the Gas Marketer Licence.
- 4. Failure to maintain a satisfactory performance bond.
- 5. Past performance in B.C. or other jurisdictions in respect to Items 1 to 4 above may be sufficient cause for denial of licence.

By signing this application, you confirm and warrant the following:

- Your sales people/agent will provide all customers, at time of the sale, with a standard information booklet and a copy of the Notice of Appointment of Marketer agreement and any other contract signed by that customer.
- 2. You have read the Rules for Gas Marketers pursuant to Section 71.1 of the Utilities Commission Act and the Code of Conduct for Gas Marketers, and accept the obligations set out therein.
- 3. The Licensee shall notify the Commission of any material change in circumstances that adversely affects or is likely to adversely affect the business, operations or assets of the Licensee as soon as practicable, but in any event no more than (20) calendar days past the date upon which such change occurs.

By signing this Application, and to verify the information provided on this form, you authorize the Commission to collect additional information from some or all of the following sources: federal, provincial/state and municipal governments; licensing bodies; law enforcement agencies; sheriffs' offices; credit bureaus; professional and industry associations; and former and current employers. In order to determine whether your organization can reasonably be expected to be financially responsible in the conduct of business, a credit review (in accordance with standard business practices) may be undertaken by the Commission. **Only information relevant to your application will be collected.**

The Applicant certifies that the foregoing information and materials accompanying this Application are correct.

Note: The proprietor or at least one partner, officer or director of the organization must sign this Application. In addition, each individual who has provided information in Section "C" must sign this Application.

Print Name and Title	Signature of Applicant	Date Signed
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